

CORRECTION TO “ZERO-ENTROPY AFFINE MAPS ON HOMOGENEOUS SPACES”

By DAVE WITTE

Abstract. Proposition 6.4 of the author’s paper [*American Journal of Mathematics* **109** (1987), 927–961] is incorrect. This invalid proposition was used in the proof of Corollary 6.5, so we provide a new proof of the latter result.

Professor A. Starkov has pointed out an error in the proof of Proposition 6.4 of the author’s paper [*American Journal of Mathematics* **109** (1987), 927–961]. Contrary to the assertion near the end of the first paragraph, it may not be possible to choose T^* to be a subgroup of $\langle g \rangle^*$. (The problem is that $\langle g \rangle^*$ may not contain a maximal torus of $(\text{Rad } G)^*$, because the maximal torus of $\langle g \rangle^*$ may be diagonally embedded in $\text{LEVI}^* \times T^*$.) The proposition cannot be salvaged, so the claim must be retracted.

Fortunately, Proposition 6.4 was used only in the proof of Corollary 6.5, for which we can give a direct proof. As it is no longer a corollary, we now reclassify this as a proposition.

PROPOSITION 6.5. *Suppose g is an ergodic translation on a locally faithful finite-volume homogeneous space $\Gamma \backslash G$ of a Lie group G , and assume $G = \Gamma G^\circ = G^\circ \langle g \rangle$. If g has zero entropy, then, for some nonzero power g^n of g , there is a finite-volume homogenous space $\Gamma' \backslash G'$ of some Lie group G' whose radical is nilpotent, and a continuous map $\psi: \Gamma' \backslash G' \rightarrow \Gamma \backslash G$ that is affine for some translation $g' \in G'$ via g^n . Furthermore, every fiber of ψ is finite.*

Proof. Assume for simplicity that G is connected and simply connected. (A remark on the general case follows the proof.) Because g is ergodic, we may assume $\Gamma \langle g \rangle$ is dense in G .

Let G^* be the identity component of the Zariski closure of $\text{Ad } G$ in $\text{Aut}(G)$, let S^* be a maximal compact torus of the Zariski closure of $\text{Ad}_G \langle g \rangle$, and let $L^* T^*$ be a reductive Levi subgroup of G^* , containing S^* . (So L^* is semisimple and T^* is a maximal torus of $\text{Rad } G^*$ that centralizes L^* . From Proposition 6.2, we know that T^* is compact.) The composition of Ad_G and the projection from G^* onto

Manuscript received March 15, 1996.

Research supported in part by a grant from the National Science Foundation.

American Journal of Mathematics 118 (1996), 1137–1140.

$T^*/(L^* \cap T^*)$ is a homomorphism, which, because G is simply connected, can be lifted to a homomorphism $\pi: G \rightarrow T^*$. Define a map $\phi: G \rightarrow G \rtimes T^*$ by $x^\phi = (x, x^{-\pi})$, where $x^{-\pi} = (x^{-1})^\pi$. Notice that

$$(1) \quad (xy)^\phi = x^\phi \cdot (y^{x^{-\pi}})^\phi,$$

for all $x, y \in G$.

The definition of ϕ is based on the nilshadow construction of Auslander and Tolimieri [AT] (or see [W, §4]). In particular, $\text{Rad } G^\phi$ is the nilshadow of $\text{Rad } G$, so $\text{Rad } G^\phi$ is nilpotent.

Let $\bar{\Gamma} \backslash \bar{G}$ be the faithful version of $\Gamma^\phi \backslash G^\phi$. More precisely, let $\bar{\Gamma} = \Gamma^\phi / N$ and $\bar{G} = G^\phi / N$, where N is the largest normal subgroup of G^ϕ contained in Γ^ϕ . We know Γ^π is finite (see Proposition 4.20), so, replacing Γ by a finite-index subgroup, we may assume $\Gamma^\pi = e$. This implies that $(\gamma x)^\phi = \gamma^\phi x^\phi$ for all $\gamma \in \Gamma$ and $x \in G$, so ϕ induces a well-defined homeomorphism $\bar{\phi}: \Gamma \backslash G \rightarrow \bar{\Gamma} \backslash \bar{G}$.

Unfortunately, $\bar{\phi}$ is not affine for g if T^* does not centralize g . We will compensate for the action of T^* by composing with a twisted affine map. Assume for simplicity that $L^* \cap T^* = e$. (Under this assumption, the map $L^* \times T^* \rightarrow L^* T^*$ is an isomorphism. In general, it is a finite cover.) Let $S_L^* = (L^* \cap S^*)^\circ$, and let S_Δ^* be a subtorus of S^* that is complementary to S_L^* and contains $S^* \cap T^*$. Let S_\perp^* be the image of S_Δ^* under the projection $L^* \times T^* \rightarrow L^*$, and notice that $S_L^* \cap S_\perp^* = e$. Thus, we have

$$S^* = S_L^* \times S_\Delta^* \subset S_L^* \times S_\perp^* \times T^* \subset L^* \times T^*.$$

Because $\Gamma \langle g \rangle$ is dense in G and $\Gamma^\pi = e$, we see that S_Δ^* finitely covers T^* , via the projection $S_\perp^* \times T^* \rightarrow T^*$.

Let L be a semisimple Levi subgroup of G with $\text{Ad}_G L = L^*$, and let \bar{L} be the corresponding Levi subgroup of \bar{G} . Since $\text{Rad } \bar{G}$ is nilpotent, and $\bar{\Gamma} \backslash \bar{G}$ is faithful, we know that $Z(\bar{G})$ is compact (see Corollary 4.6), so some compact torus $\bar{S}_\perp \subset \bar{L}$ finitely covers S_\perp^* , via the map $\text{Ad}_{\bar{G}}$.

By construction, we know that T^* is finitely covered by S_Δ^* , so the homomorphism $\pi: G \rightarrow T^*$ lifts to a homomorphism $G \rightarrow S_\Delta^*$. By composing this with the projection $S_\Delta^* \rightarrow S_\perp^*$, we obtain a homomorphism $G \rightarrow S_\perp^*$. Then, since \bar{S}_\perp finitely covers S_\perp^* , this lifts to a homomorphism $\bar{\pi}: G \rightarrow \bar{S}_\perp$. Note that, from the definition of $\bar{\pi}$, we have $(\text{Ad}_{\bar{G}}(x^{\bar{\pi}}), x^\pi) \in S_\Delta^*$, for all $x \in G$. Because $S_\Delta^* \subset S^*$ is contained in the identity component of the Zariski closure of $\text{Ad}_G \langle g \rangle$, which centralizes g , this implies that

$$(2) \quad g^{x^{-\pi} x^{\bar{\pi}}} = g.$$

Replacing Γ by a finite-index subgroup, we may assume $\Gamma^{\bar{\pi}} = e$; hence $\bar{\pi}$ induces a well-defined map from $\Gamma \backslash G$ to \bar{S}_\perp . Thus, we may define a homeo-

morphism

$$(3) \quad \psi: \Gamma \backslash G \rightarrow \bar{\Gamma} \backslash \bar{G}: x \mapsto x^{\bar{\phi}} \cdot x^{-\bar{\pi}}.$$

Then

$$(xg)^\psi \stackrel{(3)}{=} (xg)^{\bar{\phi}} \cdot (xg)^{-\bar{\pi}} \stackrel{(1)}{=} (x^{\bar{\phi}} g^{x^{-\pi} \phi})(x^{-\bar{\pi}} g^{-\bar{\pi}}) \stackrel{(3)}{=} x^\psi g^{x^{-\pi} x^{-\bar{\pi}} \phi} g^{-\bar{\pi}} \stackrel{(2)}{=} x^\psi g^\phi g^{-\bar{\pi}}.$$

In other words, ψ is affine for g via $g^\phi g^{-\bar{\pi}}$. □

Remark. If G is not connected, then, because $G = G^\circ \langle g \rangle$, there is no harm in assuming $G = G^\circ \rtimes \langle g \rangle$, and we may assume G° is simply connected.

Let G^* and G^- be the identity components of the Zariski closures of $\text{Ad } G$ and $\text{Ad } G^\circ$, respectively. By replacing g with a power g^n , we may assume $\text{Ad } g \in G^*$. Let S^+ be a maximal compact torus of the Zariski closure of $\text{Ad}_G \langle g \rangle$, and let $S^* = (S^+ \cap G^-)^\circ$. Let $L^* T^+$ be a reductive Levi subgroup of G^* , containing S^+ , and define $T^* = T^+ \cap G^-$.

Let $T_L T^+$ be a maximal compact torus in G^* , containing S^+ (where T_L is a compact torus in L^*), so $T_L T^*$ is a maximal compact torus in G^- . Then $T_L T^* S^+ = T_L T^+$, so there is a subtorus Z of S^+ that is almost complementary to $T_L T^*$ in $T_L T^+$. Assume for simplicity that $Z T_L \cap T^* = e$, so there is a natural projection $G^* \rightarrow T^*$. Then we may define a homomorphism $\pi: G \rightarrow T^*$ by composing Ad_G with this projection.

We now construct a semidirect product $G^* \rtimes T^*$. Let

$$H = \frac{G^\circ \rtimes G^*}{\{(x^{-1}, \text{Ad } x) \mid x \in [G, G]\}}.$$

We may assume $\text{Ad } g^n \notin \text{Ad } G^\circ$, for all $n \neq 0$, for otherwise we could assume G is connected. Therefore, $G \cong G^\circ \langle \text{Ad } g \rangle$ injects into H . Because

$$[\text{Ad } g, T^*] \subset [G^*, G^*] = \text{Ad } [G, G],$$

we see that $T^* \subset N_H(G)$. Therefore, T^* is a group of automorphisms of G , so we may form the semidirect product $G \rtimes T^*$.

With these definitions of G^* , T^* , S^* , L^* , π , and $G^* \rtimes T^*$ in hand, we may proceed essentially as in the proof above.

Acknowledgment. I would like to thank Alexander Starkov for bringing this error to my attention, and for the gracious manner in which he did so.

REFERENCES

- [AT] L. Auslander and R. Tolimieri, Splitting theorems and the structure of solvmanifolds, *Ann. of Math.* **92** (1970), 164–173.
- [W] D. Witte, Superrigidity of lattices in solvable Lie groups, *Invent. Math.* **122** (1995), 147–193.