

## Cocompact Lattices

Dave Morris

*Dept of Math and Comp Sci*

*University of Lethbridge*

*Lethbridge, AB, T1K 3M4*

Dave.Morris@uleth.ca

<http://people.uleth.ca/~dave.morris/>

**Conj** (Valette).  $\Gamma = \text{cocpct lattice} \Rightarrow \Gamma \text{ has property RD.}$

### A simple example.

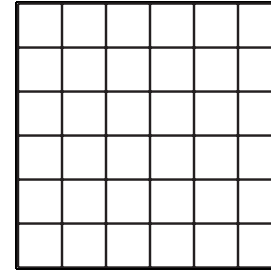
- $G = \mathbb{R}^2$  (connected Lie group)

- $\Gamma = \mathbb{Z}^2$  (discrete subgroup)

$G/\Gamma = \mathbb{T}^2$  is compact  $\Rightarrow \Gamma$  is a cocpct lattice (in  $G$ ).

$\Gamma = \pi_1(\mathbb{T}^2) = \text{fund grp of locally symmetric space.}$

Fundamental domains tessellate  $\mathbb{R}^2$ .



$\Gamma \approx \text{symmetries of tessellation of } \mathbb{R}^2 \text{ (a symmetric space).}$

*Replace  $\mathbb{R}^2$  with interesting  $G$ .*

*Replace  $\mathbb{R}^2$  with interesting  $G$ :*

Lie group that is conn, noncpc, linear, simple (or ss).

- $\text{SL}(n, \mathbb{R})$ :  $n \times n$  real mats of det 1
- $\text{SL}(n, \mathbb{C})$ ,  $\text{SL}(n, \mathbb{H})$
- $\text{SO}(m, n)$ : isometries of  $x_1^2 + x_2^2 + \dots + x_m^2 - x_{m+1}^2 - \dots - x_{m+n}^2$
- $\text{SU}(m, n)$ : isometries of  $|z_1|^2 + |z_2|^2 + \dots + |z_m|^2 - |z_{m+1}|^2 - \dots - |z_{m+n}|^2$
- $\text{Sp}(m, n)$ : similar with  $\mathbb{H}$
- etc.

**Thm.**  $G = \text{SL}(2, \mathbb{R})$  or  $\text{SO}(1, n)$  or  $\text{SU}(1, n)$  or  $\text{Sp}(1, n)$

$\Rightarrow \Gamma$  is Gromov hyperbolic  $\Rightarrow \Gamma$  has RD.

(Henceforth, we assume  $\mathbb{R}$ -rank  $G \geq 2$ .)

**Thm.**  $G = \text{SL}(3, \mathbb{R})$  or  $\text{SL}(3, \mathbb{C})$  or  $\text{SL}(3, \mathbb{H})$  or  $\text{SL}(3, \mathbb{O})$

$\Rightarrow \Gamma$  has RD.

Some small  $G$ 's that are open:

$\text{SL}(4, \mathbb{R})$  and  $\text{SO}(2, 3) \approx \text{Sp}(4, \mathbb{R})$ .

**Thm** (Margulis Arithmeticity Theorem).  $\Gamma$  is arithmetic.

$\approx G \hookrightarrow \text{SL}(n, \mathbb{R}), \Gamma = G \cap \text{SL}(n, \mathbb{Z}).$

- $\Gamma$  is on an explicit list.

### Cocompact lattices in $SO(2, 3)$ .

*Eg.* Let

- $\alpha = \sqrt{2}$ ,
- $G = SO(x_1^2 + x_2^2 - \alpha x_3^2 - \alpha x_4^2 - \alpha x_5^2) \cong SO(2, 3)$ ,
- $\Gamma = G_{\mathbb{Z}[\alpha]} = G \cap SL(5, \mathbb{Z}[\alpha])$ .

Then  $\Gamma$  is a cocompact lattice in  $G$ .

*Idea of proof.*

- $\sigma(a + b\alpha) = a - b\alpha$  (Galois auto of  $\mathbb{Q}(\alpha)$ ),
- $G^\sigma = SO(x_1^2 + x_2^2 + \alpha x_3^2 + \alpha x_4^2 + \alpha x_5^2) \cong SO(5)$ ,

Map  $\omega \mapsto (\omega, \omega^\sigma)$  embeds  $\mathbb{Z}[\alpha] \hookrightarrow \mathbb{R} \oplus \mathbb{R}$ .

Image is discrete. (Lattice in  $\mathbb{R}^2$ , so  $\approx \mathbb{Z}^2$ )

So image of  $\Gamma$  in  $G \times G^\sigma$  is discrete (cocompact lattice!).

Can mod out compact group  $G^\sigma$ .  $\square$

*More general.*

- $\alpha_1, \dots, \alpha_5$  algebraic integers, s.t.
  - $\alpha_1, \alpha_2 > 0$  and  $\alpha_3, \alpha_4, \alpha_5 < 0$ ,
  - $\forall$  Galois auts of  $\mathbb{Q}(\alpha_1, \dots, \alpha_5)$ ,  $\alpha_i^\sigma > 0$ .

Then

- $G = SO(\alpha_1 x_1^2 + \dots + \alpha_5 x_5^2) \cong SO(2, 3)$ ,
- $\Gamma = G \cap SL(5, \mathbb{Z}[\alpha_1, \dots, \alpha_5])$  is a cocompact lattice in  $G$ .

*Special case of Margulis Arith Thm.*

This constructs all cocompact lattices in  $SO(2, 3)$ .

Can describe arithmetic lattices in any  $G$ ,  
but answer may be more complicated.

(May need quaternion algebras — or other division algebras.)

### Basic algebraic properties of cocompact lattices.

- $\Gamma$  is *finitely generated* (in fact, finitely presented)  
(because  $\Gamma \approx \pi_1(\text{cpct mfld})$ )
- $\Gamma$  is *linear* (a group of matrices) because  $G$  is linear
- $\Gamma$  is *torsion free* (after pass to finite-index subgroup)  
(follows from preceding two [Selberg])
- $\Gamma$  is *residually finite* ( $\forall \gamma \in \Gamma, \exists \gamma \notin H \triangleleft \Gamma, \Gamma/H$  finite)
- $\Gamma$  *contains free subgroup* (Tits Alternative)  
( $\Rightarrow$  exponential growth) ( $\Rightarrow$  not amenable)

### Fundamental algebraic properties.

- $\Gamma$  has Kazhdan's Property  $T$  [Kazhdan et al.]  
( $\Gamma$  acts on Hilbert space  $\Rightarrow$  fixed pt)
- $\Gamma$  is almost simple [Margulis]:  
 $N \triangleleft \Gamma \Rightarrow \Gamma/N$  is finite (or  $N = \{e\}$ )
- **Conjecture** [Rapinchuk]:  $\Gamma$  has *bounded generation*  
i.e., product of finitely many cyclic subgroups:  
 $\exists \gamma_1, \dots, \gamma_r, \Gamma = \langle \gamma_1 \rangle \langle \gamma_2 \rangle \cdots \langle \gamma_r \rangle$
- **Conjecture** [Serre]:  $\Gamma$  has *Congruence Subgroup Prop*  
i.e.,  $N \triangleleft \Gamma \Rightarrow N \supset$  congruence subgroup.

Recall  $\Gamma \approx G \cap SL(n, \mathbb{Z})$  [Marg Arith Thm].  
 $N \supset \Gamma_m = \{\gamma \in \Gamma \mid \gamma \equiv \text{Id} \pmod{m}\}$ .

## Connections with $G$ .

- $\Gamma$  is quasi-isometric to  $G$
- $\Gamma$  is Zariski dense [Borel Density Theorem]:
  - $\mathbb{A}$  connected  $H < G$ , s.t.  $\Gamma \leq H$
  - $\rho: G \rightarrow \mathrm{SL}(n, \mathbb{C})$ ,  $\rho(\Gamma)$  fixes  $v \Rightarrow \rho(G)$  fixes  $v$ .
  - $\rho: G \rightarrow \mathrm{SL}(n, \mathbb{C})$ ,  $\rho(\Gamma)$  fixes  $W \Rightarrow \rho(G)$  fixes  $W$ .
- $\Gamma$  is superrigid [Margulis]:
  - $\approx \rho: \Gamma \rightarrow \mathrm{SL}(n, \mathbb{C}) \Rightarrow \rho$  extends to  $\hat{\rho}: G \rightarrow \mathrm{SL}(n, \mathbb{C})$
  - pass to finite-index subgroup of  $\Gamma$ ,
  - compose with Galois auto of  $\mathbb{C}$ ,
  - tensor several of these together.

## Consequences of superrigidity

- $\rho: \Gamma \rightarrow \mathrm{SL}(n, \mathbb{C}) \Rightarrow$ 
  - $\rho(\Gamma) \subset \mathrm{SL}(n, \text{algebraic numbers})$  (change basis)
  - $\rho(\Gamma) \subset \{\text{diagonalizable matrices}\}$
- Margulis Arithmeticity Theorem

## Why superrigidity implies arithmeticity.

Let  $\Gamma$  be a lattice in  $\mathrm{SL}(n, \mathbb{R})$ , and assume  $H$  is superrigid.

We wish to show  $\Gamma \subset \mathrm{SL}(n, \mathbb{Z})$ ,

i.e., want every matrix entry to be an integer.

*First*, let us show they are algebraic numbers.

Suppose some  $y_{i,j}$  is transcendental.

Then  $\exists$  field auto  $\varphi$  of  $\mathbb{C}$  with  $\varphi(y_{i,j}) = ???$

Define 
$$\tilde{\varphi} \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} \varphi(a) & \varphi(b) \\ \varphi(c) & \varphi(d) \end{bmatrix}.$$

This map  $\tilde{\varphi}: \Gamma \rightarrow \mathrm{GL}(n, \mathbb{C})$  is a group homo.

Superrigid:  $\tilde{\varphi}$  extends to  $\hat{\varphi}: \mathrm{SL}(n, \mathbb{R}) \rightarrow \mathrm{GL}(n, \mathbb{C})$ .

There are uncountably many different  $\varphi$ 's,  
but  $\mathrm{SL}(n, \mathbb{R})$  has only finitely many  $n$ -dim'l rep'ns  $\rightarrow \leftarrow$

Now know every matrix entry is an algebraic number.

*Second*, show matrix entries are rational.

*Fact.*  $\Gamma$  is generated by finitely many matrices.

Matrix entries of these generators  
generate a finite-degree field extension of  $\mathbb{Q}$ .

“algebraic number field”

So  $\Gamma \subset \mathrm{SL}(n, F)$ . For simplicity, assume  $\Gamma \subset \mathrm{SL}(n, \mathbb{Q})$ .

*Third*, show matrix entries have no denominators.

Actually, show denominators are bounded.

(Then finite-index subgrp has no denoms.)

Since  $\Gamma$  is generated by finitely many matrices,  
only finitely many primes appear in denoms.

So suffices to show each prime only occurs to bdd power.

$\Gamma$  is a superrigid lattice in  $SL(n, \mathbb{R})$   
and every matrix entry is a rational number.

Need to show each prime only occurs to bounded power  
in denoms.

This is the conclusion of *p-adic superrigidity*.

**Thm** (Margulis).

If  $\varphi: \Gamma \rightarrow SL(\ell, \mathbb{Q}_p)$  is a group homomorphism,  
then  $\varphi(\Gamma)$  has compact closure.

*I.e.,  $\exists k$ , no matrix in  $\varphi(\Gamma)$  has  $p^k$  in denom.*

*Summary of proof:*

- 1)  $\mathbb{R}$ -superrigidity  $\Rightarrow$  matrix entries “rational”
- 2)  $\mathbb{Q}_p$ -superrigidity  $\Rightarrow$  matrix entries  $\in \mathbb{Z}$